

**Summary of Assurance Framework Register - MBCCG
August 2017**

ID Datix	Risk Title	Strategic Objectives	Senior Manager	Date Risk Entered	Review Date	Initial Rating	Current Rating	Adequacy of Controls	Status
Governance									
57	Failure to advance transparency in health care delivery and enhance the learning culture of services we commission (ALL Providers)	4,5,6	Russell Thompson	01/04/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	↔
Contract									
Strategic									
123	Risk of not being able to commission a locally sustainable safe maternity service	4	Julia Westaway	04/06/2015	Closed	20 (High Risk)	12 (Medium Risk)	Moderately	Closed
IM&T Service									
159	Inability to produce bay wide data on activity, finance and performance results in poor planning and impacts on the delivery of better Care together and the development of the ACS.	1, 2, 3, 4, 5, 6	Gary O'Neill	19/08/2016	Closed	12 (Medium Risk)	12 (Medium Risk)	Moderately	Closed
Change									
85	Failure to achieve the national and local mental health standards.	5	Jane Linings	12/09/2014	Closed	12 (Medium Risk)	12 (Medium Risk)	Moderately	Closed
171	Delivery of transformational programmes - Urgent Care	1, 2, 3, 4, 6	Tim Almond	01/04/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Minimally	New
175	Delivery of transformational programmes - CHC	1,3	Margaret Williams	06/06/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	New
185	Delivery of transformational programmes - Children's Mental Health Services	1,2,3,5	Julia Westaway	01/04/2017	07/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	New
187	Delivery of transformational programmes in order to ensure achievement of national and local Mental Health standards and targets	1,2,3,5	Liz Dover	06/06/2017	07/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	New
Operations									
60	Estates: lack of development opportunity and funding re Out of Hospital services, which will have an impact on the implementation of Better Care Together as it is a key enabling work stream.	6	Russell Thompson	01/04/2017	01/09/2017	12 (Medium Risk)	15 (High Risk)	Moderately	↔
80	Unknown staffing capacity for delivery of Better Care Together strategy, new models of working care delivery (vanguard)	1, 2, 3, 6	Margaret Williams	01/04/2017	01/09/2017	16 (High Risk)	15 (High Risk)	Moderately	↔
146	The CCG's ability to maintain the running of day to day business in the event of a major incident or event that disrupts normal business plans and onward referral as per statutory guidelines	4,5,6	Jacqui Thompson	01/04/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	↔
154	Risk of the CCG not meeting the CCG Assurance Standards, QIPP and other key work programmes.	1, 2, 3, 4, 5, 6	Gary O'Neill	07/06/2017	Closed	12 (Medium Risk)	12 (Medium Risk)	Moderately	Closed
Clinical									
58	CCG assurance systems confirm that UHMB are able to sustain improvement as directed	4, 5	Russell Thompson	01/04/2017	01/09/2017	20 (High Risk)	8 (Low Risk)	Moderately	REDUCED
142	Failure to modernise learning disability services across Morecambe Bay to include provision for people with ASD (as per Transforming Care Plan) and to facilitate repatriation of patients from long stay hospitals and residential care facilities out of area.	5	Janette Buckland	01/04/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	↔
145	The impact of efficiency plans and financial pressures for LCC and other commissioners may have on LNCCG.	1, 2, 3, 4, 5, 6	Tim Almond	01/04/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Minimally	↔
147	Ability to recruit and retain Registered Professionals across the health economy of providers and care	1,2,3,4,5,6	Margaret Williams	01/04/2017	01/09/2017	20 (High Risk)	20 (High Risk)	Moderately	↔
186	Mitigation of quality variation in the developing ACS.	1,2,3,4,5,6	Russell Thompson	06/06/2017	07/09/2017	12 (Medium Risk)	12 (Medium Risk)	Moderately	New
Finance									
53	Financial Sustainability of Local Acute Provider	4	Mick Cleary	01/04/2017	01/09/2017	20 (High Risk)	20 (High Risk)	Moderately	↔
56	Ability of Morecambe Bay CCG to reduce rate of community-acquired C. difficile infections given inherited higher rates in South Cumbria.	4,5	Russell Thompson	01/04/2017	01/09/2017	12 (Medium Risk)	12 (Medium Risk)	Fully	↔
125	Financial sustainability of the CCG.	1, 2	Mick Cleary	01/04/2017	01/09/2017	20 (High Risk)	16 (High Risk)	Moderately	↔

Risk Status	
AF reviewed this period	20
Risk Description Updated (Minimal change to description)	4
Risk Rating current status not changed ↔	16
Reduction v	1
Increased this period ^	0
Target met this period	0
New significant AF's added	5
Closed and removed this period	4

Datix ID	Last Review/Progress Update (Red Risks)
AF53	Financial Sustainability of Local Acute Provider 20 (High Risk) MC
AF60	Estates: lack of development opportunity and funding re Out of Hospital services, which will have an impact on the implementation of Better Care Together as it is a key enabling workstream. 15 (High Risk) MC



Strategic Objectives	
1	To improve the health of our population and reduce inequalities in health
2	To reduce premature deaths from a range of long term conditions

AF80	Unknown staffing capacity for delivery of Better Care Together strategy, new models of working care delivery (vanguard)	15 (High Risk)	MW
AF125	Financial sustainability of the CCG.	16 (High Risk)	MC
AF147	Ability to recruit and retain Registered Professionals across the health economy to ensure standards and quality of care is maintained and improved.	20 (High Risk)	MW

AF Transferred to Risks Last Period			
0			

3	To develop care closer to home
4	To commission safe, sustainable and high quality Hospital Health Care
5	To commission safe, sustainable and high quality Mental Health Care
6	To improve capacity and capability of primary care services to respond to the changing health needs of our population