

NHS Lancashire North CCG - External Audit 2017/2018 - Issues Log

Reference	Annual Report or Accounts	Section	Page ref	Query/change required	Flagged by	Management Response	KPMG confirmation - change made or no longer required	Date entered onto Issues Log	Date revised
I1	Annual Report	Member practice introduction	6	Amend "Baywide" to "Bay wide" in 4th paragraph.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I2	Annual Report	Member practice introduction	6	Remove extra space in 5th paragraph.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I3	Annual Report	Performance report (Our population and social environment)	12	Amend "stroke prevention" to "Diabetes prevention" in 3rd paragraph.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I4	Annual Report	Performance report (A review of the year)	13	Amend "These include the Lancashire and Cumbria Health and Wellbeing Boards, the Lancaster Health and Wellbeing Partnership, NHS Cumbria CCG and the other Lancashire CCGs..." to "These include the Lancashire and Cumbria Health and Wellbeing Boards, the Lancaster Health and Wellbeing Partnership, South Lakes and Barrow health forums, NHS North Cumbria CCG and the other Lancashire CCGs...".	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I5	Annual Report	Performance report (Better Care Together)	16	1st two paragraphs of the "Morecambe Community Sector Project" section (5th and 6th paragraphs on page 16) rewritten.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I6	Annual Report	Performance report (Better Care Together)	17	Insert "and on our website https://www.morecambebayccg.nhs.uk/getinvolved/morecambe-bay-ccg-engagement " after website address in 3rd paragraph.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I7	Annual Report	Patient and public involvement	48	Insert " https://www.morecambebayccg.nhs.uk/your-health/self-care " at the end of the 2nd paragraph.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I8	Annual Report	Remuneration report	104	Amend "The lease for Mr Kevin Parkinson ceased on 17 October 2018" to "The lease for Mr Kevin Parkinson ceased on 17 October 2017".	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I9	Annual Report	Staff report	113	Insert new paragraph after "In addition, we routinely disseminate policies, minutes of meetings and new information electronically to all staff through a weekly newsletter.".	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I10	Annual Report	Staff report	113	Insert new section "Trade Union (Facility Time Publication Requirements) Regulations 2017", including narrative and 4 tables.	MC	Amended 30/04/2018		30/04/2018	30/04/2018
I11	Annual Report	Remuneration report	103	Insert figures in "All pension-related benefits (bands of £2,500)" and "Total (bands of £5,000)" columns for Mr Anthony Gardner and Dr Jim Hacking.	MC	Amended 08/05/2018		08/05/2018	08/05/2018
I12	Annual Report	Remuneration report	107	Insert "Director of Planning and Performance" in "Title" column for Mr Anthony Gardner.	MC	Amended 08/05/2018		08/05/2018	08/05/2018
I13	Annual Report	Remuneration report	107	Insert figures in all columns (other than "Cash Equivalent Transfer Value at 31 March 2018" column) for Mr Anthony Gardner and Dr Jim Hacking.	MC	Amended 08/05/2018		08/05/2018	08/05/2018
I14	Annual Report	Staff report	114	Insert sickness absence figures in 2nd paragraph.	MC	Amended 08/05/2018		08/05/2018	08/05/2018
I15	Accounts	Note 4.3	Note 4.3	Insert 2017/2018 sickness absence figures.	MC	Amended 08/05/2018		08/05/2018	08/05/2018
I16	Accounts	Note 35	Note 35	Insert missing figures throughout Note 35 and amend 2017/2018 figures relating to Cumbria County Council pooled funds to reflect correct payments.	MC	Amended 08/05/2018		08/05/2018	08/05/2018
I17	Annual Report	Equality report (Complaints)	62	Amend "During the year April 2017 - March 2018, we received 177 enquiries to our PALS services which include: 42 complaints, 55 concerns raised, 20 PALS only enquiries and 29 MP letters." to "During the year April 2017 - March 2018, we received 88 enquiries to our PALS services, 64 complaints and 19 MP letters." in paragraph 4.	MC	Amended 09/05/2018		09/05/2018	09/05/2018
I18	Annual Report	Annual Governance Statement (Conclusion)	100	Amend "NHS Lancashire North Clinical Commissioning Group" to "NHS Morecambe Bay Clinical Commissioning Group" in paragraph 2.	MC	Amended 09/05/2018		09/05/2018	09/05/2018
I19	Annual Report	Remuneration report (Salaries and allowances table)	103	Remove yellow shading.	MC	Amended 09/05/2018		09/05/2018	09/05/2018
I20	Annual Report	Remuneration report (Pension benefits table)	107	Remove yellow shading.	MC	Amended 09/05/2018		09/05/2018	09/05/2018
I21	Annual Report	Staff report	115	Insert signature details for Andrew Bennett signature	CP	Amended 11/05/2018		10/05/2018	11/05/2018
I22	Annual Report	Performance report (p64) Members' report (p68) Statement of Accountable Officer's responsibilities (p70) Annual Governance Statement (p100)	Various	Insert "Date" alongside signature section	MC	Amended 11/05/2018		11/05/2018	11/05/2018

I23	Annual Report	Performance report (financial key performance indicators)	45	Add "(Note 1.1 to the Accounts provides further detail on the adoption of the going concern assumption)".	CP	Amended 11/05/2018	10/05/2018	11/05/2018
I24	Accounts	Note 1.1	Note 1.1	Replace the first sentence on Note 1.1 with "These accounts have been prepared on the going concern basis despite the issue of a report to the Secretary of State for Health and Social Care under section 30(b) of the Local Audit and Accountability Act 2014 as a result of the NHS Clinical Commissioning Group being in breach of the amount of spend specified by NHS England for 2017-18 by £3.4m. The going concern assessment is based on; the NHS Clinical Commissioning Group having agreed a financial plan with NHS England for 2018-19; the NHS Clinical Commissioning Group having been notified of its 2018-19 allocation; and the NHS Clinical Commissioning Group having been notified of a Maximum Cash Drawdown figure for 2018-19."	CP	Amended 11/05/2018	10/05/2018	11/05/2018
I25	Annual Report	Staff report	112	Insert "(subject to audit)" in "Number of people employed" section title.	CP	Amended 11/05/2018	10/05/2018	11/05/2018
I26	Annual Report	Remuneration report	101	Amend title to "Remuneration and Staff Report" and insert sub-title "Remuneration Report".	MC	Amended 11/05/2018	11/05/2018	11/05/2018
I27	Annual Report	Statement of Accountable Officer's responsibilities	70 - 71	Amend wording to reflect the latest template.	CP	Amended 11/05/2018	10/05/2018	11/05/2018
I28	Annual Report	Contents	2	Insert new heading "Remuneration and Staff report.....101".	MC	Amended 11/05/2018	11/05/2018	11/05/2018
I29	Accounts	Note 12.1.2	Note 12.1.2	Amend formula in totals line to reflect actual costs.	MC	Amended 11/05/2018	10/05/2018	11/05/2018
I30	Accounts	SoCNE, SCF, Notes 4.1.1, 4.1.2, 5, 17, 33.3	Various	Make minor adjustments to correct rounding errors.	MC	Amended 11/05/2018	10/05/2018	11/05/2018
I31	Accounts	Note 4.5.2	Note 4.5.2	Change percentage figure in 4th paragraph from 14.3% to 14.38%	MC	Amended 11/05/2018	11/05/2018	11/05/2018
I32	Annual Report	Key Performance Indicators	40 - 43	Remove yellow shading.	MC	Amended 16/05/2018	16/05/2018	16/05/2018
I33	Annual Report	Contents	2	Re-number Remuneration and Staff Report items.	MC	Amended 16/05/2018	16/05/2018	16/05/2018
I34	Annual Report	Annual Governance Statement (Introduction)	71	Insert new wording in 1st paragraph.	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I35	Annual Report	Annual Governance Statement (The CCG Governance Framework)	72	Insert new wording in 1st paragraph.	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I36	Annual Report	Annual Governance Statement (Annual audit of conflicts of interest management)	92	Insert new section "Annual audit of conflicts of interest management".	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I37	Annual Report	Annual Governance Statement (Information Governance)	93	Insert new sentence at end of Information Governance section.	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I38	Annual Report	Annual Governance Statement (Third Party Assurances)	94	Insert new section "Third Party Assurances".	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I39	Annual Report	Annual Governance Statement (Delegation of Functions)	94	Insert new section "Delegation of Functions".	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I40	Annual Report	Annual Governance Statement (Counter Fraud Arrangements)	94 - 95	Insert new section "Counter Fraud Arrangements".	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I41	Annual Report	Annual Governance Statement (Review of economy, efficiency and effectiveness of the use of resources)	95 - 96	Insert new sentences in 2nd paragraph and as 4th and 5th paragraphs.	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I42	Annual Report	Annual Governance Statement (Data Security)	102	Insert new sentence at end of Data Security section.	CP	Amended 16/05/2018	16/05/2018	16/05/2018
I43	Annual Report	Annual Governance Statement (Conclusion)	104	Insert new wording at end of last sentence.	CP	Amended 16/05/2018	16/05/2018	16/05/2018